TOPIC-SPECIFIC LEARNING JOURNEY

COURSE PACKAGE:

"INTRODUCTION TO MARKET ABUSE"



ENTRIMA's Learning Platform lists a wide range of courses, all related to markets & trading. Some of these courses have been bundled to form a course package, as they all concerns the same field of expertise.

The Course Package "**Introduction to market abuse**" consists of only one course, namely:

1. General introduction to market abuse

This course ends with an exam. Upon passing the exam the learner will be certified instantly.
The contents, duration and level of this course is set out on the next page.

Total duration*) of this single (**1**) course: **30** minutes (i.e. **0.5** hour)

*) *Excluding examination*

eLEARNING:
GENERAL INTRODUCTION TO MARKET ABUSE

This *interactive* eLearning covers the fundamentals of market abuse. It touches on the basics of the related topics or themes from a *universal perspective* and is therefore suitable for every professional who wants or needs to master the related content.

This *interactive* eLearning covers the following topics:

- Market abuse
  - Insider trading
    - Order management
      - Placing, cancelling & amending orders
    - Other
      - Inducing, inciting other people
  - Market manipulation
    - Transacting/order management which is misleading
    - Dissemination of false/misleading information
- Inside information
  - Criteria to qualify information as inside information
  - Obligation to publish inside information
- Compliance
  - Risk of non-compliance
  - Reputational risk
- Monitoring & surveillance
  - Parties with an obligation to perform monitoring or surveillance
  - Reporting suspicious behaviour
- Sanctioning
  - Administrative sanctions
  - Criminal sanctions

❖ Level:	Basic	- No prerequisites
❖ Intensity:	30 minutes	
❖ Language:	Voice & text	- English
❖ Exam:	No examination, nor certification	