TOPIC-SPECIFIC LEARNING JOURNEY

COURSE PACKAGE:

"SINGAPORESE MARKET ABUSE REGULATIONS"



ENTRIMA's Learning Platform lists a wide range of courses, all related to markets & trading. Some of these courses have been bundled to form a course package, as they all concerns the same field of expertise.

The Course Package "**Singaporese market abuse regulations**" consists of the following courses:

		page
1.	Securities & Futures Act	3
2.	Commodity Trading Act	4

Each of these courses ends with an exam. Upon passing the exam the learner will be certified instantly. The contents, duration and level of each course is set out in the pages hereafter.

Duration

Total duration*) of all two (**2**) courses together: **50** minutes (i.e. -approximately- **1** hour)

- **Study hours:** 1
- **CPD points:** 1

*) *Excluding examination*

COURSE:
SECURITIES & FUTURES ACT

REGULATION

This course is about the Singaporese regulation on market abuse. The focus in on securities and futures markets in particular. Attention is given to the relevant authorities, their roles, jurisdictions and enforcement mandates.

This course includes the following video lessons:

1. Introduction
2. The Monetary Authority of Singapore
3. Prohibited market conduct
4. False trading and market rigging transactions
5. Market manipulation re securities & securities-based derivatives
6. False or misleading statements
7. Fraudulently inducing persons to deal in capital market products
8. Employment of manipulative and deceptive devices
9. SGX exchange rulebook
10. SGX exchange rulebook – Factors to determine the creation of false or misleading appearances
11. Case 1: Placing an order without the intent to have it executed
12. Case 2: Spoofing & layering
13. Case 3: False trading – Marking the close
14. Civil penalty regime

A. Examination
B. Certification

❖ Level:	Basic	No prerequisites
❖ Intensity:	30 minutes	Excluding examination
❖ Language:	Voice & text	English
❖ Including:	Examination	Certification upon passing

COURSE:
COMMODITY TRADING ACT

REGULATION

This course is about the Singaporese regulation on market abuse. The focus in on commodities and commodity markets. Attention is given to the relevant authorities, their roles, jurisdictions and enforcement mandates.

This course includes the following video lessons:

1. Introduction
2. Definitions
3. Parties out of scope
4. Monetary Authority of Singapore
5. Business rules – Commodity market operator
6. Licences – Commodity brokers & other parties
7. Offences – False trading
8. Offences – Bucketing
9. Offences – Dissemination of information about false tading
10. Offences – Manipulation of price and cornering
11. Offences – Employment of fraudulent or deceptive devices
12. Offences – Fraudulently inducing trading
13. Offences – Penalties
14. General penalties
15. Exemptions

A. Examination
B. Certification

❖	Level:	Basic	No prerequisites
❖	Intensity:	20 minutes	Excluding examination
❖	Language:	Voice & text	English
❖	Including:	Examination	Certification upon passing