TOPIC-SPECIFIC LEARNING JOURNEY

COURSE PACKAGE:

"US MARKET ABUSE REGULATIONS"



ENTRIMA's Learning Platform lists a wide range of courses, all related to markets & trading. Some of these courses have been bundled to form a course package, as they all concerns the same field of expertise.

The Course Package "**US market abuse regulations**" consists of the following courses:

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1.	US market abuse regulations & authorities	3
2.	CEA & DFA – *(Dodd-Frank-amended) Commodity Exchange Act*	4
3.	EPA – *Energy Policy Act*	5
4.	EISA – *Energy Independence and Security Act*	6

Each of these courses ends with an exam. Upon passing the exam the learner will be certified instantly. The contents, duration and level of each course is set out in the pages hereafter.

Duration

Total duration*) of all four (**4**) courses together: **70** minutes (i.e. **1+** hour)

- **Study hours:** 2
- **CPD points:** 2

*) *Excluding examination*

COURSE:
US MARKET ABUSE REGULATIONS & AUTHORITIES

This course is about the US regulations on market abuse. The focus in on commodity & energy markets in particular. Attention is given to the relevant authorities, their roles, jurisdictions and enforcement mandates.

This course includes the following video lessons:

1. Overview of US market abuse regulations – *Commodity & energy markets*
2. Authorities in US commodity & energy markets – *CFTC, FTC & FERC*
3. Securities Exchange Commission (SEC)
4. Overlapping jurisdictions
5. Agreements between US agencies

A. Examination
B. Certification

❖ Level:	Basic	No prerequisites
❖ Intensity:	15 minutes	Excluding examination
❖ Language:	Voice & text	English
❖ Including:	Examination	Certification upon passing

COURSE:

CEA & DFA

(DODD-FRANK-AMENDED) COMMODITY EXCHANGE ACT

This course is about the US regulation Commodity Exchange Act, as well as its refinements as directed per Dodd-Frank Act. The focus of this educational programme is on commodity markets. Attention is given to the prohibitions and obligations under this regime and how things are organised. Furthermore, actual cases are covered to include jurisprudence.

This course includes the following video lessons:

1. Introduction
2. The Dodd-Frank-amended CEA
3. Prohibition of insider trading
4. Prohibition of market manipulation
5. Disruptive practices
6. Scope of CEA
7. CFTC Final Rule 180.1 & 180.2
8. Sanctioning
9. Case law versus civil law
10. Jurisprudence
11. Market surveillance

    A. Examination
    B. Certification

❖	Level:	Basic	No prerequisites
❖	Intensity:	20 minutes	Excluding examination
❖	Language:	Voice & text	English
❖	Including:	Examination	Certification upon passing

COURSE:

EPA

ENERGY POLICY ACT

This course is about the US Energy Policy Act, with specific attention to market abuse. The focus in on energy markets in general and electricity and gas markets in particular. Attention is given to the relevant authorities. Furthermore, actual cases are covered to include jurisprudence.

This course includes the following video lessons:

1. Introduction
2. Scope & provisions
3. FERC Order 670
4. Jurisprudence
5. Market surveillance

A. Examination
B. Certification

❖	Level:	Basic	No prerequisites
❖	Intensity:	15 minutes	Excluding examination
❖	Language:	Voice & text	English
❖	Including:	Examination	Certification upon passing

COURSE:

EISA

ENERGY INDEPENDENCE AND SECURITY ACT

This course is about the US regulation Energy Independence and Security Act. The focus is on market abuse in the field of energy markets in general and crude oil and refinery products in particular. Attention is given to the relevant authorities. Furthermore, actual cases are covered to include jurisprudence.

This course includes the following video lessons:

1. Introduction
2. Scope & provisions
3. FTC Petroleum Market Manipulation Rule
4. Jurisprudence
5. Market surveillance

A. Examination
B. Certification

❖	Level:	Basic	No prerequisites
❖	Intensity:	15 minutes	Excluding examination
❖	Language:	Voice & text	English
❖	Including:	Examination	Certification upon passing